



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/976,077         | 10/15/2001          | Tohru Kimura          | 02860.0691             |

Finnegan, Henderson, Farabow,  
Garrett & Dunner, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315



CONFIRMATION NO. 1552  
FORMALITIES LETTER



\*OC000000007084778\*

Date Mailed: 11/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

*MD*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/2001 AGOITOM 00000077 060916 09976077  
FC:105 130.00 CH



Customer No. 22,852  
Attorney Docket No. 02860.0691

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
)  
Tohru KIMURA et al. )  
) Group Art Unit: 2873  
Serial No.: 09/976,077 )  
) Examiner: Not Assigned  
Filed: October 15, 2001 )  
)  
For: OBJECTIVE LENS, COUPLING )  
LENS, LIGHT CONVERGING )  
OPTICAL SYSTEM, AND )  
OPTICAL PICK-UP APPARATUS )

**Assistant Commissioner for Patents**  
**Washington, DC 20231**  
Attention:

**BOX MISSING PART**

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

In response to the Notice To File Missing Parts dated November 16, 2001, it is respectfully submitted that the signed declaration was filed in the U.S. Patent and Trademark Office with the application on October 15, 2001. The declaration was properly signed as required by 37 CFR § 1.63. We enclose a copy of the signed declaration as filed on October 15, 2001, the Notice to File Missing Parts, and the date-stamped receipt card evidencing filing of the declaration on October 15, 2001.

Since applicants filed the signed declaration timely on October 15, 2001, with the application, it is respectfully submitted that no fee should be required.

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However, if any fee is due in connection with the filing of this response, or if a fee is required for an extension of time, this is a petition therefor and please charge the fees to our deposit account no. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: December 10, 2001

By: David W. Hill  
David W. Hill  
Reg. No. 28,220

Enclosures  
DWH/FPD/sem

DWH/USJ



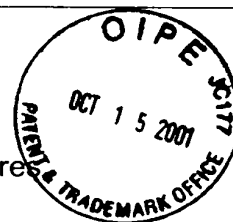
**PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:**

New U.S. Patent Application for:  
OBJECTIVE LENS, COUPLING LENS, LIGHT CONVERGING OPTICAL SYSTEM, AND  
OPTICAL PICK-UP APPARATUS

Inventors: 1) Tohru KIMURA and 2) Nobuyoshi MORI

**BOX PATENT APPLICATION**

1. Check for \$5,652.00
2. Transmittal Letter
3. Spec. 519 pgs. 22 indep. clms. and 202 clms. total
4. Drawings - 110 sheets of formal drawings containing 171 figures
5. Declaration and Power of Attorney
6. Recordation Form Cover Sheet
7. Assignment to KONICA CORPORATION
8. Certified copy of Japanese Patent Application Nos. 2000-315100, filed October 16, 2000, 2000-315101, filed October 16, 2000, 2000-368383, filed December 4, 2000, 2000-392333, filed December 25, 2000, 2001-025463, filed February 1, 2001, 2001-048392, filed February 23, 2001



Dated October 15, 2001

Docket No.: 02860.0691

CUSTOMER NUMBER: 22,852

DWH/FPD ~~22,852~~

(Due Date: October 16, 2001)

*Okto 10-16-01 pma*